

THE ADVISOR SUMMIT

on Closed-End Funds

AGENDA

The Advisor Summit on Closed-End Funds is specifically designed for financial advisors who are looking to explore the strategies and unique structure closed-end funds offer for clients seeking regular income and diversification potential.

May 22, 2013

12:30 pm

Registration

Welcome

Closed-End Fund Association

Brian Smith, Director

1:00 pm

Keynote Addresses:



“Fear and Indecision: Sounds Like a Bull Market”

Richard Bernstein, Chief Executive Officer

Richard Bernstein Advisors, LLC



Municipal Bonds, Yields and Interest Rates

John Miller, CFA, Managing Director, Co-Head of Fixed Income

Nuveen Asset Management

2:00 pm

The Benefits of Investing with Closed-End Funds

A roundtable discussion highlighting the many unique advantages of closed-end funds including, the ability to use leverage and a wide variety of investment strategies.

Moderator: Piers Currie, Group Head of Marketing
Aberdeen Asset Management

Panelists: Anne Kritzmire, Managing Director, Closed-End Funds and Global Structured Products
Nuveen Investments

Jonathan Diorio, Director
BlackRock

Christopher Larsen, Director, Closed-End Funds Product Group
Legg Mason

2:45 pm

Break

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3:00 pm

Opportunities in Closed-End Funds

How do experts analyze closed-end funds, how do they use them to enhance investment portfolios, and what current opportunities do they see in the closed-end fund sector.

Moderator: Ken Fincher, Senior Vice President, New Product Development
First Trust Advisors

Panelists: Doug Bond, Executive Vice President
Cohen & Steers
Geoff Kurinsky, MBA, Director
Sage Capital Management, LLC.

3:45 pm

Why Closed-End Funds Add More Value

Two companies share how closed-end funds offer the opportunity for attractive returns in two investment sectors—global & emerging markets, and convertible securities.

Tim Brand, Senior Vice President, National Sales-Intermediary Distribution
Calamos Investments
Christian Pittard, Group Head of Product Development
Aberdeen Asset Management

4:15 pm

How to Analyze Closed-End Fund Data

An exclusive inside look on how Morningstar presents their data and how to use it to analyze closed-end funds.

Mike Taggart, Head of U.S. Closed-End Fund Research
Morningstar, Inc.

4:45 pm

Cocktail Reception



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