

ADVISOR SUMMIT

MAY 4, 2017 | HOUSTON, TX | WESTIN GALLERIA

EVENT ACCEPTED FOR 3 CONTINUING EDUCATION CREDITS

CEF FUNDAMENTALS: BUILDING INCOME

8:30 - 9:00 AM	Registration
9:00 - 9:10 AM	Welcome Anne Kritzmire, Managing Director, Closed-End Funds & Global Structured Products — Nuveen
9:10 - 10:00 AM	CEF Trends & Opportunity: Incorporating CEFs in Your Portfolio <i>Moderator:</i> Anne Kritzmire, Managing Director, Closed-End Funds & Global Structured Products — Nuveen Sangeeta Marfatia, Senior Closed-End Fund Strategist — UBS Jonathan Morgan, President & CEO — Canadian General Investments, Ltd.
10:00 - 11:00 AM	Finding Income in a Low-Yield Environment <i>Moderator:</i> Mariana Bush, Head of CEF/ETF Research — Wells Fargo Advisors Thomas Alonso, CFA, Vice President of Investor Relations — Prospect Capital Corporation Larry Holzenthaler, Investment Strategist — Symphony Asset Management, Nuveen F. Barry Nelson, CFA, Partner — Advent Capital Management
11:00 - 11:10 AM	Break
11:10 - 11:35 AM	Exploring Midstream Energy Investment Opportunities Ed Russell, Managing Director — Tortoise Capital Advisors
11:35 AM - 12:00 PM	Emerging Markets – India: Asia’s Economic Powerhouse Yoojeong Oh, CFA, Investment Manager — Aberdeen Asset Management
12:00 - 12:15 PM	Lunch & Investor Registration
12:15 - 12:45 PM	Lunch and Learn: An Introduction to Closed-End Funds Industry leaders Mariana Bush, Head of CEF/ETF Research — Wells Fargo Advisors and Anne Kritzmire, Managing Director, Closed-End Funds & Global Structured Products — Nuveen, discuss the benefits of CEFs and how to generate income in a rising interest rate market.



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Conclusion | Continuing Education Credit Certification

At the conclusion of the event, continuing education participants will receive a certificate of completion. This event has been accepted for 3 CE Credit hours by the CFP Board and by IMCA for credit towards the CIMA®, CIMC® and CPWA® certifications.

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