

ADVISOR SUMMIT ON CLOSED-END FUNDS

MONDAY
SEPTEMBER 23 • CHICAGO

HOSTED AT
THE MID-AMERICA CLUB

DEVELOPING PORTFOLIO DIVERSIFICATION & YIELD OPPORTUNITIES

8:30 - 9:00 AM **Registration & Continental Breakfast**

9:00 - 9:10 AM

Welcome

Tim Sullivan, Director — Closed-End Fund Association

9:10 - 10:00 AM

Utilizing Closed-End Funds for Diversification & Income

Discussion of closed-end fund market fundamentals and key points for analysis and portfolio positioning. Characteristics of the closed-end structure and the broad range of available asset classes provide opportunities to enhance investor yield and diversify portfolios.

Jeff Margolin, Senior Vice President — First Trust

Bill Meyers, Senior Managing Director, CEFs — Nuveen

10:00 - 10:45 AM

The Antidote to Conventional Wisdom

Conventional wisdom has been proven wrong time and time again. Through it all, Brian Wesbury has been a voice of reason. An antidote to conventional wisdom. The economy is (still) better than you think...let him tell you why.

Brian Wesbury, Chief Economist — First Trust

10:45 - 11:00 AM

Break

11:00 - 11:50 AM

Opportunities in Real Assets, Energy & Infrastructure

Discussion of the market fundamentals for sectors representing the “back bone” of the economy. These sectors typically provide strong cash flows and defensive characteristics and are often under-represented in investment portfolios.

Moderator: **William Korver**, Director — Guggenheim Investments

Nick Holmes, Director & Portfolio Manager, Energy — Tortoise

Tom Miller, Director, Portfolio Manager, Infrastructure Securities — Brookfield Public Securities Group LLC

11:50 AM - 12:40 PM

Navigating a Low Rate Environment: Equity Income & Convertible Strategies

Discussion of strategies to utilize equities and convertible securities as a source of portfolio yield while potentially providing capital gains and some defensive qualities for a more balanced investment portfolio.

Moderator: **Dan Buchanan**, Senior Business Development Manager, ETPs — Aberdeen Standard Investments

Michael Allison, Vice President, Director of Equity Strategy Implementation — Eaton Vance

F. Barry Nelson, CFA, Senior Advisor — Advent Capital Management, LLC

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This event has been accepted for **4.5 CE Credit hours** by the CFP Board.

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12:40 - 1:10 PM

Lunch

1:10 - 2:00 PM

Diversifying Internationally

U.S. investors are typically underweight to international investments. This discussion focuses on return opportunities in non-US markets; the benefits of diversifying investments in global markets and; the potential for active managers to add value in market and security selection.

Moderator: **Jonathan Isaac**, Vice President, Director of Product Management — Eaton Vance

Jonathan Morgan, President & CEO — Canadian General Investments, Ltd.

Nick Robinson, Investment Director — Aberdeen Standard Investments

Adjourn

Continuing Education Credit Certification

At the conclusion of the event, continuing education participants will receive a certificate of completion. This event has been approved for 4.5 CE Credit hours by the CFP Board.

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