

ADVISOR SUMMIT ON CLOSED-END FUNDS

SEPTEMBER 20, 2018 | CHICAGO, IL | HOSTED AT SHERATON GRAND CHICAGO



EARN
5
CE CREDITS

Exploring Alternative Products

CFP BOARD

9:00 - 9:30 AM Registration & Networking

9:30 - 9:40 AM Welcome to the Summit

Rennie McConnochie, Head of Global Banks — Aberdeen Standard Investments

9:40 - 10:30 AM Alternative Product Strategies: Real Assets and Equity Income

Moderator: Dave Lamb, Managing Director — Nuveen

Larry Antonatos, Managing Director, Portfolio Manager — Brookfield Investment Management

Jonathan Isaac, Vice President, Director of Product Management — Eaton Vance

10:30 - 11:20 AM Utilizing CEFs for Diversification and Income

Moderator: William Korver, Director, Product Development & Management — Guggenheim Investments

Janis Kerns, General Counsel, Corporate Secretary and Chief Compliance Officer — Adams Funds

Bob Bush, Senior Vice President, Director of CEF Products — Calamos Investments

Chris Larsen, Director — Legg Mason

11:20 - 11:40 AM Break

11:40 AM - 12:30 PM WORKSHOP: Managing Portfolio Risk with Interval Funds

Lunch provided

Dennis Emanuel, Director of ETF & Closed-End Fund Strategy — ALPS

Michael Kelnosky, Client Portfolio Manager — Tortoise

12:30 - 12:50 PM Break

12:50 - 1:40 PM Finding Income in Volatile Markets

Moderator: Rennie McConnochie, Head of Global Banks — Aberdeen Standard Investments

F. Barry Nelson, CFA, Partner — Advent Capital Management

Mike Taggart, CFA, Vice President — Nuveen

Michael Cimini, Head of Investor Relations — Prospect Capital Corporation



1:40 - 2:30 PM

Update on Opportunities in Global Markets

Moderator: **Dennis Emanuel**, Director of ETF & Closed-End Fund Strategy — ALPS

Josh Duitz, Senior Portfolio Manager, Global Equity Income Fund – Aberdeen Standard Investments

Jonathan Morgan, President & CEO — Canadian General Investments, Ltd.

Brian Giuliano, CFA, Vice President, Portfolio Management — Brandywine Global

Adjourn

Continuing Education Credit Certification

At the conclusion of the event, continuing education participants will receive a certificate of completion.

This event has been accepted for 5 CE Credit hours by the CFP Board.

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