

The Advantages of Closed-End Funds *Seeking Income, Finding Value*

The Advisor Summit on Closed-End Funds (CEFs) is the event for financial advisors who want to know more about how to take advantage of the unique investment opportunities of CEFs to build income for their clients. This event will provide an in-depth look at the current market and how to use CEFs to find potential value and achieve income. Presenters include industry leading experts and CEF portfolio managers.

June 9, 2014

12-12:30 pm

Registration & Lunch

Please check in at the registration table, take a moment to visit the education center and enjoy the box lunch provided. *Continuing education participants are required to check in by 12:30 pm.*

Session 1
12:30 - 1:20 PM

The Role and Benefits of CEFs for Today's Investor

This panel conversation will highlight the benefits of the closed-end capital structure, dispel myths and misconceptions about CEFs, and discuss how the use of leverage and the opportunity to buy at a discount can boost regular income and provide additional value.

Moderator:

Anne Kritzmire, Managing Director, Closed-End Funds & Global Structured Products - **Nuveen Investments**

Panelists:

Piers Currie, Group Head of Brand - **Aberdeen Asset Management**

Jon Diorio, Director - **BlackRock**

Jonathan Isaac, Vice President, Director of Product Management - **Eaton Vance**

Session 2
1:20 - 2:20 PM

Expand Income Opportunities for Your Clients

The Year of the Horse: The Case for Investing in Asia

Astute investment professionals often recommend that investors seek income on a global scale. Aberdeen believes that Asia is too important to ignore in a diversified investment strategy. In fact, it is predicted that by 2050 three of the four largest economies in the world will be in Asia. What's more, markets that sold off sharply last year appear to be making up for lost ground. Learn more about how you and your clients may reap the awards of investing in a region backed by rising wealth levels and favorable demographics.

Flavia Cheong, Investment Director, Asian Equities, Aberdeen Asset Management

Opportunities and Challenges in Tax-Free Income

While the continued prospect of rising interest rates is the predominant risk in the bond market for the foreseeable future, there are other factors that drive performance other than the sensitivity to the general level of interest rates. These other factors generally present opportunities for investors while some continue to present challenges. This session will provide an in-depth look of the state of the municipal bond market and address the potential impact on investors.

Daniel J. Close, CFA, Senior Vice President, Portfolio Manager, Nuveen Investments

2:20 - 2:30 PM **Break**

Session 3
2:30 - 3:45 PM

Alternative Asset Allocation Strategies

CEF Strategies for International Diversification

CEFs provide access to a wide variety of investment strategies, including longer-term, less liquid securities or markets due to their stable capital structure. In this session, the panel will provide insight on why CEFs may offer attractive investment opportunities for income and growth beyond the U.S. borders, and why using a closed-end fund structure.

Moderator:

Alison duPont, Senior Fund Marketing Manager, **Aberdeen Asset Management**

Panelists:

David Wertheim, Director, **Deutsche Asset & Wealth Management**

Jonathan Morgan, Executive Vice President, **Morgan Meighen & Associates**

A Closer Look at Business Development Companies (BDCs)

The search for yield is a critically important task for many investors. Three industry leaders sit down for a panel conversation about an often little-discussed segment of the market known as business development companies (BDCs). Find out how BDC investments may be a potentially strong addition to an income portfolio, and also provide an opportunity to access emerging growth companies before they go public.

Grier Eliasek, President and Chief Operating Officer, **Prospect Capital Management LLC**.

Conclusion

Continuing Education Credit Certification

At the conclusion of the event, continuing education participants will receive a certificate of completion. This event has been accepted for 3 CE Credit hours by the CFP Board and by IMCA for credit towards the CIMA®, CIMC® and CPWA® certifications.

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